HONESTY & INTEGRITY DECLARATION

**ANNEXURE C**

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| **Name of Investor:** |  |
| **FSP Name:** | **GROWTH TREE INVESTMENTS LTD** |
| **Registration Number: FSP License Number: VCC Number:** | **2018/195570/07****49526** **0113** |
| *By signing this document, I acknowledge that I understand the statements made hereunder and declare all such statements to be true as at the date of signing.* |
| 1. I have never been found guilty in any criminal proceedings or liable in any civil proceedings by a court of law (whether in the Republic or elsewhere) of having acted fraudulently, dishonestly, unprofessionally, dishonourably or in breach of a fiduciary duty
2. I have never been found guilty by any statutory professional body or voluntary professional body (whether in the Republic or elsewhere), recognised by the Financial Services Board, of an act of dishonesty, negligence, incompetence or mismanagement, sufficiently serious to impugn my honesty and integrity
3. I have never been denied membership of any statutory professional body or voluntary professional body (whether in the Republic or elsewhere), recognised by the Financial Services Board, on account of an act of dishonesty, negligence, incompetence or mismanagement, sufficiently serious to impugn my honesty and integrity
4. I have never been found guilty by any regulatory or supervisory body (whether in the Republic or elsewhere), recognised by the Financial Services Board, or my authorisation to carry on business refused, suspended or withdrawn by any such body, on account of an act of dishonesty, negligence, incompetence or mismanagement sufficiently serious to impugn my honesty and integrity
5. I have never had any license granted to me by any regulatory or supervisory body (whether in the Republic or elsewhere), recognised by the Financial Services Board, suspended or withdrawn by such body on account of an act of dishonesty, negligence, incompetence or mismanagement, sufficiently serious to impugn my honesty and integrity
6. I have never been disqualified or prohibited by any court of law (whether in the Republic or elsewhere) from taking part in the management of any company or other statutorily created, recognised or regulated body, irrespective of whether such disqualification has since been lifted or not
7. I do not have any additional information which should be brought to the Compliance Officer’s attention that may have an impact on the evaluation of my good character and integrity.
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| **Signature: \_** |
| **Date:**  |